



**STATE OF MISSOURI
MISSOURI DEPARTMENT OF CORRECTIONS
CONTRACT AMENDMENT**

**RETURN AMENDMENT NO LATER THAN JUNE 03, 2016 TO:
DIANA FREDRICK, CPPB
PROCUREMENT OFFICER II**

MISSOURI DEPARTMENT OF CORRECTIONS
PURCHASING SECTION
2729 PLAZA DRIVE, P.O. BOX 236
JEFFERSON CITY, MISSOURI 65102
FAX: 573-522-1562

DATE	VENDOR IDENTIFICATION	CONTRACT NUMBER	CONTRACT DESCRIPTION
05/06/16	ASCENT Recovery Residences P.O. Box 4368 Joplin, MO 64803	SDA48000825 Amendment #001	COMMUNITY REENTRY FUNDING

THE ABOVE REFERENCED CONTRACT IS HEREBY AMENDED AS FOLLOWS:

Pursuant to paragraph 2.2.3 on page 7, the Missouri Department of Corrections hereby exercises its option to renew the above-referenced contract for the period of July 1, 2016 through June 30, 2017.

Renewal of the above contract is contingent upon final approval of the fiscal year 2017 budget by Governor Nixon.

All terms, conditions and provisions of the previous contract period, including awarded funds, shall remain and apply hereto.

The contractor shall complete, sign and return this document as acceptance on or before the date indicated above.

.....
IN WITNESS THEREOF, THE PARTIES HERETO EXECUTE THIS AGREEMENT.

Authorized Signer's Printed Name and Title: MARK McDONALD
Authorized Signature: *Mark McDonald* Date 6-15-16

THIS AMENDMENT IS ACCEPTED BY THE MISSOURI DEPARTMENT OF CORRECTIONS AS FOLLOWS: In its entirety.

[Signature] Ellis McSwain Jr., Board of Probation and Parole Chairman Date 6/15/16

REQUEST FOR APPLICATION



**Missouri Department of Corrections
Fiscal Management Unit
Purchasing Section
2729 Plaza Drive, P.O. Box 236
Jefferson City, MO 65102**

**Buyer of Record:
Gary Stoll, CPPB
Telephone: (573) 526-6402
gary.stoll@doc.mo.gov**

RFA SDA480-008

Community Reentry Funding Statewide

**Contract Period: Date of Award through
06/30/2016**

Date of Issue: June 15, 2015

Page 1 of 57

**Applications Must Be Received No Later
Than:**

2:00 p.m., July 2, 2015

Sealed applications must be delivered to the Missouri Department of Corrections, Purchasing Section, 2729 Plaza Drive, Jefferson City, MO 65109, or P.O. Box 236, Jefferson City, Missouri 65102. The applicant should clearly identify the RFA number on the lower right or left-handed corner of the container in which the application is submitted to the Department. This number is essential for identification purposes.

We hereby agree to provide the services and/or items, at the price quoted, pursuant to the requirements of this document and further agree that when this document is countersigned by an authorized official of the Missouri Department of Corrections, a binding agreement, as defined herein, shall exist. The authorized signer of this document certifies that the awardee (named below) and each of its principals are not suspended or debarred by the federal government.

Program Title: **"Getting it Right"- Contributing to the Community**
Company Name: ASCENT Recovery Residences
Mailing Address: PO Box 4368
City, State, Zip: Joplin, MO 64803
Telephone: 417-529-9368 Fax: 417-622-4095
Federal EIN #: 26-1682708 State Vendor #: 26168270800
Email: m.miller@ascentrecovery.org

Authorized Signer's Printed Name and Title: Michael S. Miller Program Director

Authorized Signature: *Michael S. Miller* Application Date: 06/30/2015

NOTICE OF AWARD:

This application is accepted by the Missouri Department of Corrections as follows:

In its entirety.

Contract No. **SDA48000825** SW-001

[Signature]
Ellis McSwain, Chairman, Board of Probation and Parole

Date 7/29/15

The original cover page, including amendments, should be signed and returned with the application.

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Fiscal Management Unit
Purchasing Section
2729 Plaza Drive, P.O. Box 236
Jefferson City, MO 65102**

**Buyer of Record:
Gary Stoll, CPPB
Telephone: (573) 526-6402
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 Email: m.miller@ascentrecovery.org

Authorized Signer's Printed Name and Title: **Michael S. Miller - Program Director**

Authorized Signature:  Application Date: 06/30/2015

NOTICE OF AWARD:

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Contract No.

Ellis McSwain, Chairman, Board of Probation and Parole

Date

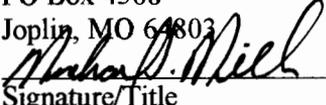
The original cover page, including amendments, should be signed and returned with the app

Application Summary Form

COMMUNITY REENTRY FUND APPLICATION SUMMARY FORM
THIS FORM MUST BE COMPLETED IN ITS ENTIRETY AND SUBMITTED TO BE CONSIDERED FOR AWARD.

<i>Please select geographic area in accordance with the map attached: See Attachment 1</i>		<i>Amount of DOC Funds Requested:</i>
<input type="checkbox"/> Western Region	City/County _____	\$ 38,855
<input checked="" type="checkbox"/> Southwest Region	City/County Joplin/Jasper/Newton	
<input type="checkbox"/> North Central Region	City/County _____	
<input type="checkbox"/> Eastern Region	City/County _____	
<input type="checkbox"/> Southeast Region	City/County _____	

Program Title: "Getting it Right"- Contributing to the Community
Does this program complement another application? Yes **No** X **Name:** _____
Provide a unique name descriptive of service or program for EACH application submitted

Applicant Agency and Address: ASCENT Recovery Residences PO Box 4368 Joplin, MO 64803  Signature/Title Michael S. Miller/Program Director	Project Director Name, Phone, Fax & Email: Michael S. Miller (417) 529-9368 (phone) (417) 622-4095 (fax) m.miller@ascentrecovery.org
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Anticipated Outputs: (number of offenders supervised by DOC to be served by the proposed project): 15	Estimated Cost per Offender: \$ 2590	# of Paid Staff: 3 # of Volunteers: 5
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Summary of Proposed Project: In a concise manner, provide a summary paragraph of your project.
 The "Getting it Right" program will enable 15 offenders in Jasper and Newton counties to receive adequate person-centered, comprehensive care for successful reentry in the community. By providing individualized care plans and a structured mentoring, employment, and education program, we will enable offenders to correct significant thinking errors and assimilate the myriad changes that must be made into their life. Upon completion of the program, offenders will have made improvements in the six major recovery domains: Abstinence, Employment, Stability in Housing, Basic Needs including medical/dental care, Criminal Justice Involvement, and Social Connectedness. Services will be provided by this agency and from partners in the local Recovery Oriented System of Care and MRP Steering Team.

In-Kind Contributions: Applicant must identify all in-kind contributions which include "contributions other than cash." While these contributions usually add real value to a project, they do not require an additional cash outlay (e.g. donated labor, materials and services).

Applicants must provide in-kind and identify in-kind contributions their organizations will make to this project and how those contributions will help sustain the project. The funding resulting from an award of the RFA shall be considered seed funding to start initiatives with a strong sustainability plan indicated.

Attach a page identifying in-kind contributions.

IN-KIND CONTRIBUTIONS

ASCENT Recovery Residences has been providing similar services as described in this project proposal since 2008. Our program's design features substantial "in-kind" contributions from our collaborative partners within our local Recovery Oriented System of Care, the MRP Steering Team, social service agencies as well as our own agency network of support and volunteers. As a recipient of funding under the "Access to Recovery" federal grants since 2008, we will be participating in the recently awarded ATR IV which would allow all eligible offenders served in this proposal to qualify for all services at no incremental cost to the DOC and this funding proposal. Through our collaborative relationship with Ozark Center, eligible offenders qualify for Outpatient Substance Use Disorder counseling on both an individual and group basis with no incremental cost to the DOC. Details of these "in-kind" contributions:

ATR IV Federal Grant: **Undetermined Amount**

- Recovery Support Services

Ozark Center/New Directions **\$25,000 (estimate)**

- Individual Counseling
- Group Counseling
- Co-Occurring Mental Health Evaluations/Treatment

MRP Steering Team

- Project Consultation

Local ROSC

- Salvation Army: \$100 Clothing Vouchers/Offender Served
- Crosslines Churches: Quarterly Bulk Food Donations
- Community Clinic: Medical/Dental Care

ASCENT Recovery Residences

- Program Director Supervision of Project
- Executive Director Payroll for ASCENT program delivery and administration
- Program Director Payroll for ASCENT program delivery and administration
- Intake Interviews/Assessments
- Board Supervision
- Basic Essentials donations including clothing and personal hygiene items
- Donations of Residential furnishings and supplies
- Fund Raising Activities
- Cash Contributions

Checklist for Application Submission

REQUIRED FORM COMPLETED FORM TO BE RETURNED WITH APPLICATION

Check that all forms and narratives are complete and accurate. Submit the application narrative and forms in the following order to ensure credit for each of the categories as listed below.

NOTE: If narrative is not clearly marked by section, the evaluation team may not score the application.

1. **Request for Application Cover Page, including Cover Page(s) for any amendments** (see cover sheet)
2. **Checklist for Application Submission**
3. **Application Narrative** Not to exceed 10 pages. (see Part Three – Submission Requirements)
4. **Preference Points** (see 3.1 g)
 - Is service supported housing proposed? ___ Yes X No
 - Are sex offenders to receive rent/housing subsidy? ___ Yes X No
5. **Funding Sources** The applicant should identify on the Checklist for Application Submission the percentage of the applicant's total operating revenues which came from the following sources during the last fiscal year. (Total should equal 100%)
 - % Local government
 - 8 % State government
 - 4 % Federal government
 - 18 % Direct donations from individuals
 - 5 % Corporate or foundation grants
 - 41 % Fee and charges for services, products, and sales
 - % Endowment and interest income
 - 24 % Fundraisers or special events
 - % Membership fees
 - % Other sources (specify: _____)

100% Total
6. **Supporting Documentation & Forms**
 - A. Exhibit A – Prior Experience of Applicant (mandatory form)
 - B. Exhibit B – Expertise of Personnel (mandatory form)
 - C. Exhibit C – Legal/Cancellation Actions Against Applicant (mandatory form)
 - D. Exhibit D – Performance Measures (mandatory form)
 - E. Exhibit E – Timeline (mandatory form)
 - F. Exhibit F – Budget Detail Worksheet (mandatory form)
 - G. Exhibit G – Budget Narrative (mandatory form)
 - H. Exhibit H – Missouri Service-Disabled Veteran Business Preference (optional form)
 - I. Exhibit I – Participation Commitment (optional form)
 - J. Exhibit J – Documentation of Intent to Participate for MBE/WBE (optional form)
 - K. Documentation of Nonprofit Corporation under Chapter 355 RSMo. or Section 501(C)(3) of the Internal Revenue Code (documentation needed)

NOTE: Questions relating to the RFA must be directed to Gary Stoll, of the Department of Corrections at either (573) 526-6402 or gary.stoll@doc.mo.gov.

GETTING IT RIGHT: CONTRIBUTING TO THE COMMUNITY IN SOUTHWEST MISSOURI

3.1.1 Application Narrative: ASCENT Recovery Residences has been providing faith based, substance use disorder recovery support services, including residential support, since March, 2008. We have been credentialed by the State of Missouri, Department of Mental Health (DMH) as a “Recovery Support Service” provider through the Access to Recovery (ATR II and III) federal grants since May, 2008. We have been providing offender reentry services to the Missouri Department of Corrections from August 1, 2012 through June 30, 2015 under contract # SDA48000522, # SDA48000621, and #SDA48000710 and have successfully delivered all contracted services and exceeded our projected core performance measures. We have continued the “Getting it Right-Contributing to the Community” program through the end of 2014 and the beginning of 2015 in spite of significant budget constraints due to the delayed implementation of the last round of Community Reentry Funding. Since our inception we have actively participated in the establishment of a “Recovery Oriented System of Care” in the local community as defined by the federal Substance Abuse and Mental Health Services Administration (SAMHSA) and the state of Missouri Department of Mental Health (DMH). We currently have a residential capacity of 12 men in 2 separate residences. The ASCENT program offers an experience of a year or longer that focuses on the whole person and the challenges they face:

1. **Housing:** We provide a safe environment in an affordable, transitional, homelike residence in a Therapeutic Community residential model that allows the individual to experience living in a functional family unit that accepts, encourages, supports, and challenges. We have coordinated initial housing support for our clients through ATR II and ATR III as well as the last three Community Reentry Funding grant cycles. Additionally, we have fostered relationships with

multiple landlords who are willing to utilize ASCENT recommendations as reference for entering lease agreements for permanent, affordable, and stable housing once an individual completes the program.

2. **Substance Abuse/Mental Health:** We have developed a collaborative relationship with Ozark Center/New Directions where a full array of clinical substance abuse and mental health services are offered every ASCENT client. All clients participate in ongoing individual and group counseling as well as have access to mental health services and needed medications.
3. **Employment:** We help individuals to become gainfully employed with strategic alliances with the Missouri Career Center and the local Workforce Investment Board. We currently provide direct service to clients through our work readiness/retention workshops, individual support in the job search process, and follow up services during their entire residential stay with us. Each newly admitted offender will participate in a series of ASCENT staff delivered work readiness/retention workshops that address effective employment acquisition strategies including appearance, application/resume completion, interview skills, appropriate follow-up skills, and job retention skills. An Individualized Job Search plan will be developed as part of their Individual Care Plan by their assigned Job Coach (ASCENT staff) with specific individual deficiencies and barriers addressed. This assigned Job Coach will continue to meet with each offender on a regular basis to reinforce retention strategies and review advancement potential during their entire engagement with ASCENT. We have developed a large number of private employers who are willing to provide employment to ASCENT clients. We have helped coordinate GED classes and testing through the Economic Security Corporation and facilitated the referral of eligible clients to Vocational Rehabilitation.

4. **Social Support Systems:** We help clients to strengthen healthy support networks through family, church, 12 Step programs, friendships, community involvement and other networks. We actively encourage and facilitate mentoring relationships, especially within AA/NA and churches, as well as encourage and support active volunteer efforts of our clients. We collaborate extensively with the Children's Division of DSS for those clients with active cases.
5. **Medical and Dental Services:** We facilitate connection with the Joplin Community Clinic so offenders can learn to care for themselves and maintain positive health. Additionally, we have developed several low or no cost referral relationships with private medical and dental providers.
6. **Legal:** We have developed an extensive network throughout the entire state of Missouri criminal justice system. We have coordinated placements of offenders through the local specialty courts; Recovery Court, Co-Occurring Disorders Court, and DWI Court. Judges David Dally, Gayle Crane, Timothy Perigo, and David Mouton have all utilized ASCENT placement as an alternative to incarceration in Jasper, Newton, and McDonald counties. We coordinate with private attorneys and the state of Missouri Public Defenders Office to aid ASCENT clients in resolving current legal difficulties. We have numerous contacts within the Missouri correctional facilities, particularly the DOC treatment units, and are adept at coordinating home plans for soon to be released offenders. In addition to helping our clients navigate the criminal justice system, we actively provide direct assistance in the acquisition of appropriate identification and documentation; birth certificates, driver license or state ID, and social security cards to facilitate our clients access to employment and social services.

Relationship with local Probation and Parole Office: ASCENT is heavily invested in its alliances with the District 9 Board of Probation and Parole and the SW04 MRP Steering Team. We

have been a member of the MRP Steering Team since its inception, prior even to the chartering of ASCENT. While our program has not been specifically targeted for offenders only, approximately 75 percent of our clients are under active supervision of the DOC with approximately 95 percent experiencing prior supervision or incarceration. We have an active and positive relationship with the District 9 Board of Probation and Parole in coordinating probation/parole requirements and home planning. We have yet to experience an active client who has even had a technical violation of the terms and conditions of their probation/parole.

Key staff of the agency, including those who will be involved in this project include:

- **Executive Director, Teddy Steen** (BS, RASAC II, MRSS-P, MARS) has been active for more than 26 years in substance use disorder counseling and reentry initiatives as evidenced by her active involvement in the District 9 P & P Restorative Justice Board, the Citizens Advisory Board, and as co-chair of the SW04 MRP Steering Team. Teddy holds a Bachelor of Science in Social Work, and has eight years prior experience providing substance use disorder counseling for offenders on a part time basis. She has expanded her role in ASCENT as the **Offender Care Coordinator, Individual Mentor and Job Coach** for our women clients over the past two and a half years and will continue to provide these services for the men in the future. She currently holds the following credentials from the Missouri Credentialing Board (MCB); Recognized Associate Substance Abuse Counselor II (RASAC II), Missouri Recovery Support Specialist-Peer (MRSS-P), and Medication Assisted Recovery Specialist (MARS).
- **Program Director, Michael Miller** (CRADC, MRSS-P, MARS) has been providing substance use disorder recovery counseling, care coordination (case management), recovery education, peer support/mentoring, spiritual life skills, work preparation, and residential

management for ASCENT clients since the program's inception in 2007. He has been providing peer support and mentoring since 2000 on a volunteer basis as an alumnus of MARR, Inc. in Atlanta, GA. and as a member of the MARR Alumni Association. His long experience in Human Resources, Operations, and Finance serves to provide real world employment guidance to our clients as well as providing solid organizational skills and sound financial management to ASCENT. Mike currently holds the following credentials from the MCB; CRADC, MRSS-P, and MARS.

- **Offender Care Coordinator, Carl Perkins** (BA, RASAC II, MRSS-P) has been serving as a volunteer for ASCENT since its inception in 2007 and paid staff since 2012. Carl is an ex-offender with a long history of substance abuse and criminal behavior. Since his release from incarceration in 2002, Carl has maintained continuous sobriety, completed all the terms and conditions of his parole, become President of his family owned construction company, completed a Bachelor of Arts in Sociology from MSSU, is currently participating in the Master of Social Work program at MSU, and provided offender mentoring through his relationship with ASCENT and his active involvement in his church. He applied for Executive Clemency in 2010 and is awaiting the decision of the Governor. Carl is an active member of the District 9 P & P Restorative Justice Board, the Citizens Advisory Board, and the SW04 MRP Steering Team. He has been providing recovery support services since October, 2011 and has served as the **Offender Care Coordinator, Individual Mentor, and Job Coach** for our male clients over the past two and a half years. Carl will continue to provide a unique contribution to this project as an ex-offender who has successfully negotiated the challenges facing a reentering offender. Carl currently holds the following credentials from the MCB; RASAC II and MRSS-P.

Program Design and Implementation/Project Summary: The primary goal of this proposed project is to continue to reduce recidivism in the District 9 and 33 service areas and to keep the local communities safe, healthy, and productive by providing the full array of services available from ASCENT to targeted offenders. We wish to continue our “Getting it Right – Contributing to the Community” program that was initiated in August, 2012. It is clear from the data compiled by the Department of Corrections and MRP Steering Teams that substance use disorders are a prime causal factor in offender recidivism and the increasing number of incarcerations. Jasper and Newton counties, Joplin in particular, tend to be a congregation point in southwest Missouri for individuals seeking help with a myriad of personal and social issues, as well as increased levels of criminal activity. The data also indicates that the largest factor in offender recidivism is active substance use at 76 percent. It is clear from the gaps identified by the DOC for the state and the region, as well as agenda items from the MRP Steering Team and conversations between Cherylon Winningham, District 9 Administrator, and Teddy Steen, ASCENT Executive Director, that offenders face myriad challenges in addition to their substance use disorders. While the local community, in collaboration with the MRP Steering Team has made remarkable progress in addressing some of these gaps including basic essentials such as medications, affordable housing, employment, education, transportation, and social services; it is clear that individuals under supervision often struggle in utilizing resources in the community due to lack of knowledge about services for which they are eligible, stigmatization, and feelings of hopelessness due to previous negative or non-helpful encounters with service delivery systems. ASCENT care coordinators, reentry mentors, and job coaches will help offenders overcome this obstacle by directly CONNECTING them with necessary supports rather than simply making referrals to self-help groups, clothes closets, treatment providers, housing providers, and licensing agencies. Always at the top of the list are: 1) Housing, 2)

Employment, and 3) Transportation. These three basic needs must be met in order for an individual to be successful in the community. Individuals in reentry also need training, education, and mentoring in how to change errors in thinking and assimilate this newfound knowledge and support into their life. In order to accomplish the above objectives, the ASCENT project incorporates the following components in its programming:

- * Individualized Care Coordination and Reentry Mentoring
- * Ongoing Clinical Treatment and Mental Health Services
- * Enrollment and Delivery of Recovery Support Services
- * Continuation of the “Getting it Right-Contributing to the Community” mentoring program
- * Continuation of the “Work Readiness Program” through workshops, individualized job coaching, and continuous follow up services.

The “Eight Evidence-Based Principles for Effective Interventions” will be utilized in all facets of the program:

1. **Engagement and Assessment** – ASCENT staff will utilize all existing referral partners as well as continue to develop new ones in order to achieve the targeted number of 15 offenders during the contract period. Those sources include Recovery Court, Co-Occurring Disorder Court, DWI Court, Circuit Court judges, Missouri Public Defenders Office, DOC Correctional Facilities, Probation and Parole Office, and private attorneys. When potential candidates are identified, ASCENT staff will meet with each and review their individual needs and assess their strengths and weaknesses as they relate to the six previously identified recovery domains; housing, substance use/mental health, employment, social connectedness, medical/dental, and legal challenges. When an offender is accepted in the program, we will arrange a comprehensive professional assessment of risks/needs through Ozark Center and attend a meeting with their

assigned PO to review their TAP. All these inputs will be utilized in developing an Individual Care Plan within the first two weeks of their admittance in the program.

2. **Enhance Intrinsic Motivation** – ASCENT staff will utilize motivational interviewing techniques to determine their position in the “Stages of Change” and utilize cognitive behavioral techniques to help the offender progress through those stages. Emphasis will ALWAYS be placed on life change versus consequence avoidance. We will utilize staff experience and history to establish a mentoring relationship that will continue beyond their stay in the program.
3. **Target Interventions** – Immediately upon admittance, the offender will begin substance use disorder treatment at Ozark Center based on the results of their needs assessment. This will typically consist of a combination of group and individual counseling at a level determined by Ozark Center’s placement criteria. As required, they will also be referred for mental health assessment/medication acquisition. The ASCENT **Offender Care Coordinator** will begin connecting them with providers to ensure their basic needs are met. ASCENT staff will begin immediately orienting the client and providing recovery support services: care coordination, employment preparation, recovery education groups, spiritual life groups, and individual peer mentoring. While each offender’s care plan is individualized based on assessed needs, at a minimum each offender will participate in two recovery education groups weekly, one spiritual life group weekly, and one individual session bi-weekly.
4. **Skill Train with Directed Practice** -. In addition to the regular ASCENT curriculum, clients funded through this project will immediately begin participation in the “Getting it Right: Contributing to the Community” mentoring program developed and administered by The Change Companies ®. The “Getting it Right Program” applies the latest research in criminal justice and personal change theory for participants preparing to leave the criminal justice

system. The program features Rational Self-Counseling as used by the Federal Bureau of Prisons, the Trans-theoretical Model of Change, Social Learning Theory, and Interactive Journaling ®. It is a structured combination of individual and group activities led by an assigned mentor to include a weekly group session, one bi-weekly individual follow-up/progress review session, and weekly homework assignments in their interactive journal. The design of the program allows a client to enter at any stage of the process without negative impact on the client or the group.

5. **Increase Positive Reinforcement** – ASCENT program focus is based primarily on immediate changes in behavior and providing positive consequences for positive behavior, and negative consequences for negative behavior at a very basic level. We also provide frequent recognition ceremonies and structured exposure to successful offender graduates of the program.
6. **Engage On-going Support in Natural Communities** – In addition to in-house community groups, participants are required to attend 5 AA/NA meetings per week, acquire a sponsor, and attend the church of their choice on a weekly basis. With our high profile in the local recovery community as well as the faith community, ASCENT clients are welcomed into these communities and we provide consistent encouragement to facilitate that engagement for each individual.
7. **Measure Relevant Processes/Practices** – We consistently monitor and evaluate client progress based on the key areas of abstinence, employment status, treatment participation and progress, current probation/parole requirements, stabilization of family and living conditions, and social supports and connectedness. All clients are subject to frequent and random drug/alcohol screening. We provide immediate and consistent feedback to the client regarding their progress in all key areas. As previously mentioned, the ASCENT care coordinators will conduct an

individual follow-up/progress review versus their care plan as necessary but no less frequent than bi-weekly.

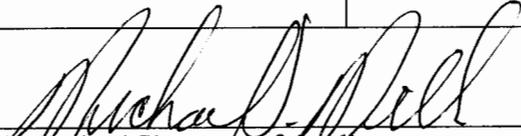
8. **Provide Measurement Feedback** – We continuously monitor and measure all relevant activities and communicate effectively with all stakeholders. As a prior recipient of ATR funding we have been subject to Government Performance Results Act (GPRA) reporting in the six key recovery domains and have used the same GPRA criteria to follow up on clients progress for one year after discharge.

Core Performance Measures: Once a client has been admitted in the program, a comprehensive care plan will be developed to include all the key components of the project, including an individualized care plan and job search plan. This will include clinical treatment, recovery support services previously identified, and participation in the “Getting it Right Program.” While every client’s plan will be individualized, they will all receive services as identified in Exhibit D. We maintain internal records of all interactions with our client population, including follow up and after care once a client leaves our program, either appropriately or otherwise. We currently maintain an internal tracking mechanism that measures our results in all the 6 key recovery domains and will utilize the reporting requirements identified by the Department of Corrections to maintain consistency in reporting outcomes data. We will ONLY serve current offenders through the use of grant funds but will integrate the offenders into the regular ASCENT client population, just as the offenders must assimilate into the general population in the community for reentry to be truly successful.

EXHIBIT A**SUBMISSION IS MANDATORY****PRIOR EXPERIENCE OF APPLICANT**

The applicant shall copy and complete this form for each reference being submitted as demonstration of the applicant's prior experience. In addition, the applicant is advised that if the contact person listed for the reference is unable to be reached during the evaluation, the listed experience may not be considered.

Organization Name:	ASCENT Recovery Residences
Reference Information (Prior Services Performed For:)	
Name of Reference Company:	Missouri Department of Corrections
Address of Reference Company:	PO Box 236 2729 Plaza DR Jefferson City, MO 65102
Reference Contact Person Name:	Christian Arment Lisa Meyer John Hall
Contact Person Phone #:	(573) 882-3577 (573) 526-6611
Contact Person e-mail address:	ArmentCD@missouri.edu Lisa.meyer@doc.mo.gov
Dates of Prior Services:	8/1/2012 thru 6/30/2015
Dollar Value of Prior Services:	\$75,549
Description of Prior Services Performed:	"Getting it Right" – Contributing to the Community Re-entry Program

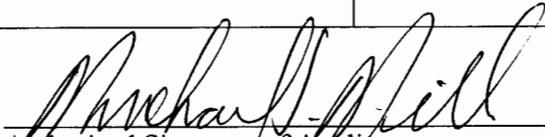

Authorized Signature of Applicant

Date 6/30/15

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Organization Name:	ASCENT Recovery Residences
Reference Information (Prior Services Performed For:)	
Name of Reference Company:	Missouri DOC, Board of Probation and Parole, District 9
Address of Reference Company:	1919 N Rangeline RD Joplin, MO 64801
Reference Contact Person Name:	Cherylon Winningham, District Administrator
Contact Person Phone #:	(417) 629-3200 Ext 227
Contact Person e-mail address:	Cherylon.Winningham@doc.mo.gov
Dates of Prior Services:	03/01/2008 to present
Dollar Value of Prior Services:	N/A
Description of Prior Services Performed:	Coordination of recovery support services, probation/parole requirements, and home plans for incarcerated/parole/probation offenders



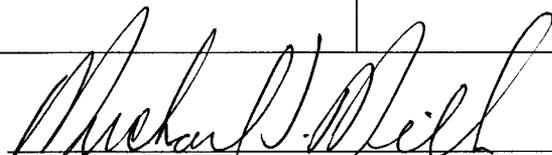
 Authorized Signature of Applicant

6/30/15
 Date

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Organization Name:	ASCENT Recovery Residences
Reference Information (Prior Services Performed For:)	
Name of Reference Company:	Missouri Department of Mental Health Division of Alcohol and Drug Abuse
Address of Reference Company:	PO Box 687 1706 East Elm ST Jefferson City, MO 65102
Reference Contact Person Name:	Mark Shields, ATR Project Director
Contact Person Phone #:	(573) 751-4942
Contact Person e-mail address:	Mark.Shields@dmh.mo.gov
Dates of Prior Services:	05/22/2008 to present
Dollar Value of Prior Services:	\$125,000
Description of Prior Services Performed:	Recovery Support Services through ATR II and ATR III federal grant and Missouri DMH Bridge Funding



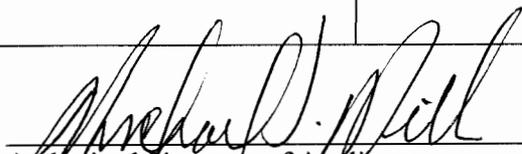
 Authorized Signature of Applicant

Date 6/30/15

EXHIBIT A**SUBMISSION IS MANDATORY****PRIOR EXPERIENCE OF APPLICANT**

The applicant shall copy and complete this form for each reference being submitted as demonstration of the applicant's prior experience. In addition, the applicant is advised that if the contact person listed for the reference is unable to be reached during the evaluation, the listed experience may not be considered.

Organization Name:	ASCENT Recovery Residences
Reference Information (Prior Services Performed For:)	
Name of Reference Company:	Ozark Center/New Directions
Address of Reference Company:	PO Box 2526 305 S Virginia ST Joplin, MO 64801
Reference Contact Person Name:	Kelly Bokay, Director of Clinical Services
Contact Person Phone #:	(417) 347-7730
Contact Person e-mail address:	KABokay@freemanhealth.org
Dates of Prior Services:	05/22/2008 to present
Dollar Value of Prior Services:	\$125,000
Description of Prior Services Performed:	Coordination of recovery support services for Ozark Center clinical treatment clients



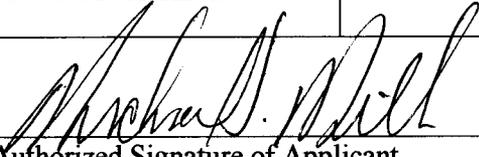
 Authorized Signature of Applicant

6/30/15
 Date

EXHIBIT A**SUBMISSION IS MANDATORY****PRIOR EXPERIENCE OF APPLICANT**

The applicant shall copy and complete this form for each reference being submitted as demonstration of the applicant's prior experience. In addition, the applicant is advised that if the contact person listed for the reference is unable to be reached during the evaluation, the listed experience may not be considered.

Organization Name:	ASCENT Recovery Residences
Reference Information (Prior Services Performed For:)	
Name of Reference Company:	Missouri Foundation for Health
Address of Reference Company:	415 S 18 th ST, Suite 400
	St. Louis, MO 63103
Reference Contact Person Name:	Deena Lauver Scotti, Grants Manager
Contact Person Phone #:	(314) 345-5556
Contact Person e-mail address:	dlauverscott@mffh.org
Dates of Prior Services:	9/01/2010 thru 8/31/2012 and 6/01/2013 thru 5/31/2014
Dollar Value of Prior Services:	\$88,800
Description of Prior Services Performed:	Basic Support Grant 2010,2011,2013 – Agency Operations



 Authorized Signature of Applicant

6/30/15

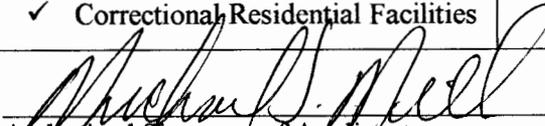
 Date

EXHIBIT B
SUBMISSION IS MANDATORY

EXPERTISE OF PERSONNEL

(Copy and complete this table for each key person proposed)

Title of Position: <u>Executive Director</u>	
Name of Person:	Teddy A. Steen
Educational Degree (s): include college or university, major, and dates	BS Social Work: SW Missouri State 1980 Teaching Certificate-Secondary Education: SW Missouri State 1983
License(s)/Certification(s), Number(s), expiration date(s), if applicable:	RASAC II (MCB #7416) MARS (MCB #6740) MRSS-P (MCB #7419)
Specialized Training Completed. Include dates and documentation of completion for all required training identified in this document:	Chemical Dependency I-V: 225 Education Hours (SATOP) 1995
Number of years experience in area of service proposed to provide. Experience in working with offenders?	26
Describe person's relationship to applicant. If employee, number of years. If subcontractor, describe other/past working relationships.	Executive Director Founded ASCENT Recovery Residences in 2007
Describe this person's responsibilities over the past 12 months.	"Getting it Right" Care Coordination, Mentoring, Job Coach (Women), Strategic Planning and Support, and development of collaborative community relationships
Previous employer(s), positions, and dates.	Cable One Advertising: Regional Sales Manager 1987-2011 Family Recovery Services: Counselor (PT) 1998-2000 Citizens Advisory Board: Telephone Counselor (PT) 1987-1993
Identify specific information about experience in:	Clearly identify the experience, provide dates, describe the person's role and extent of involvement in the experience
✓ Social Work	Workforce Investment Board: Executive Committee 2008-Present Certified Professional Behavior/Values Analyst 2006
✓ Reentry	SW04 MRP Steering Team: Co-Chair 2007-Present District 9 P & P Citizens Advisory Board 1987-Present
✓ Counseling	Offender Counseling: Family Recovery Services 1998-2000 Offender Counseling: Citizens Advisory Board 1987-1993
✓ Criminal Justice	District 9 P & P Restorative Justice Board 2007-Present Certified SATOP Instructor: 1995
✓ Correctional/Residential Facilities	


Authorized Signature of Applicant

Date 6/30/15

Teddy Steen

3419 Alpaca Road

Joplin, MO 64804

(417) 540-6313

t.steen@ascentrecovery.org

EDUCATION: **Southwest Missouri University, Springfield, MO**
Bachelor of Science: Social Work, 1980
Teaching Certificate: Secondary Education, Social Studies, 1983
Southwest Institute of Addiction Services, Joplin, MO
Chemical Dependency I-V, 225 Education hours and 9 hours of Ethics, 1995
Approved by the Missouri Substance Abuse Counselor Certification Board

EMPLOYMENT: **ASCENT Recovery Residences 2007-Present**
Executive Director

CableOne, Inc. 1987- 2011

Regional Advertising Sales Manager 2001-2011

Regional Advertising Business Manager 1998-2001

District Marketing, Training and Operations Manager 1987-1998

Family Recovery Services 1998-2000

Substance abuse counselor, working under supervision doing both individual and group counseling. An outpatient treatment program designed for offenders.

Citizen's Advisory Board 1987-1993

Telephone counselor, this was a contracted position to Missouri Probation and Parole as part of their Community Sentencing program.

BOARDS: **SW04 MRP Steering Committee Co-chair**
Missouri Probation and Parole District 9 Citizen's Advisory Board
Missouri Probation and Parole District 9 Restorative Justice Board
Workforce Investment Board Executive Committee

TRAINING: **Missouri Recovery Support Specialist-Peer (MRSS-P)**
Recognized Associate Substance Abuse Counselor II (RASAC II)
Medication Assisted Recovery Specialist (MARS)
ATR III Approved Staff
Certified SATOP Instructor
Certified Professional Behavioral Analyst
Certified Professional Values Analyst
Certified Delta Society Pet Partner Team
CableOne Leadership and Supervisory Skills Training Course
Faith Partners Team training

The Board of Regents for The Southwest Missouri State University

Upon the nomination of the faculty has conferred upon

Debbi Ann Hoffmann Boyer

the degree of

Bachelor of Science

Given under the seal of the University at Springfield, in the

State of Missouri on the second day of August, in

the year Nineteen Hundred and Eighty

Thomas W. Madenwald, M.D.
President, Board of Regents



Erane Meyer
President of the University

No. 7416

Missouri Substance Abuse Professional Credentialing Board

Hereby recognizes that

Teddy A. Steen

has met all the standards and qualifications required of an
associate substance abuse counselor as determined by the
Credentialing Board and is hereby conferred the title of

Recognized Associate Substance Abuse Counselor II

Awarded on April 23, 2013



Cliff Johnson *CAAAAE*

President

Alicia Ozunbun *MACSAPP*

Secretary

No. 7419

Missouri Substance Abuse Professional Credentialing Board

Hereby recognizes that

Teddy Steen

has met all the standards and qualifications required of a
recovery support specialist as determined by the Credentialing
Board and is hereby conferred the title of

Missouri Recovery Support Specialist

Awarded on May 1, 2013



President

Cliff Johnson

Secretary

Alicia Oranberg

No. 6740

Missouri Substance Abuse Professional Credentialing Board

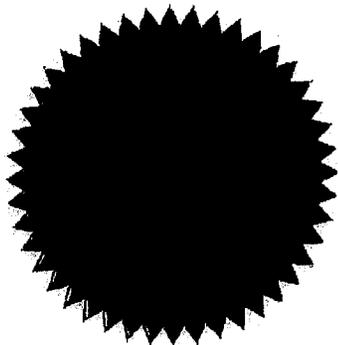
Hereby recognizes that

Teddy Steen

has completed the required training and is
hereby conferred the title of

Medication Assisted Recovery Specialist

Awarded on April 20, 2012



Cliff Jahn CLIFF JAHN

President

Alicia Overbueh MAGSAPP

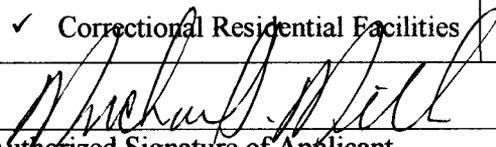
Secretary

**EXHIBIT B
SUBMISSION IS MANDATORY**

EXPERTISE OF PERSONNEL

(Copy and complete this table for each key person proposed)

Title of Position: <u>Program Director</u>	
Name of Person:	Michael Miller
Educational Degree (s): include college or university, major, and dates	
License(s)/Certification(s), Number(s), expiration date(s), if applicable:	CRADC (MCB #6218) MARS (MCB #6227) MRSS-P (MCB #6202)
Specialized Training Completed. Include dates and documentation of completion for all required training identified in this document:	(See Attached Training Log)
Number of years experience in area of service proposed to provide. Experience in working with offenders?	15
Describe person's relationship to applicant. If employee, number of years. If subcontractor, describe other/past working relationships.	Program Director Founded ASCENT Recovery Residences in 2007
Describe this person's responsibilities over the past 12 months.	Direct Client Recovery Supports Program Design/Implementation Agency Financial/Operations Management
Previous employer(s), positions, and dates.	America's Mortgage Broker LLC: Associate VP 2002-2008 Expansion Lab INC: VP of Sales 2000-2001 First American Rental INC: VP of Operations 1995-2000
Identify specific information about experience in:	Clearly identify the experience, provide dates, describe the person's role and extent of involvement in the experience
✓ Social Work	Client/Offender Care Coordination Development of collaborative relationships with service agencies
✓ Reentry	Coordination of offender home plans Coordination of supervision transfer (from institutions)
✓ Counseling	Recovery Counseling Peer Support/Mentoring
✓ Criminal Justice	Coordination of alternative sentencing Client Court Advocacy
✓ Correctional Residential Facilities	


Authorized Signature of Applicant

Date 6/30/15

Michael S Miller, CRADC, MARS, MRSS-P

602 Seville Circle
Joplin, MO 64801
(417) 622-0945 (home)
(678) 772-6217 (cell)
m.miller@ascentrecovery.org

WORK HISTORY

- 2007-Present** **Program Director** **ASCENT Recovery Residences**
Responsible for the development, operations, and future growth of the agency
- *Program Development based on MARR, Inc program*
 - *Financial Management of agency*
 - *Residential Manager*
 - *Conduct recovery mentoring, life skills, spiritual life skills, and job training; group and individual*
- 2002 – 2008** **Associate VP/ Branch Manager** **America's Mortgage Broker LLC**
Responsible for operations of branch
- *Ensure compliance with all governmental and company guidelines*
 - *Supervise loan officers and Processor*
 - *Originate residential mortgage loans*
- 2001 - 2002** **Regional Manger** **RentWay, Inc**
2000 - 2001 **Vice President/Sales** **Expansion Lab, Inc**
Development of sales organization to support multimedia internet based economic development organization
- 1995 – 2000** **Vice President/Operations** **First American Rental, Inc**
Management and development of operations team to support aggressive expansion plan
- *Increased location count from 15-44 branches*
 - *Increased revenue from 10MM – 25MM*
 - *Doubled overall net value of company*
- 1990 – 1995** **Director of Operations** **Haircuts, Inc**
Management and development of operations team
- *Increased salon count from 13 – 26 salons*
 - *Increased revenue from 3.25MM – 7.5MM*
- 1986 – 1990** **Zone Manger** **Rent – a – Center, Inc**
Development of New England market
- *Increased store count from 8 – 25 locations*
 - *Increased revenue from 6MM – 20MM*
 - *Ranked #2 in profitability in 1988 in entire company*
 - *Ranked # 3 in profitability in 1989*
- 1976 – 1986** **Director, Field Training** **Pizza Hut, Inc**
10 years increasing responsibility from restaurant manager to Director, Field Training

PROFESSIONAL CREDENTIALS

- 2015** **Certified Reciprocal Alcohol and Drug Counselor (CRADC #6218)**
2012 **Medication Assisted Recovery Specialist (MARS # 6727)**
2011 **Missouri Recovery Support Specialist (MRSS # 6202)**

PROFESSIONAL AFFILIATIONS

- 2011 – Present** **Treasurer** **Recovery Coalition of the Ozarks**
2001 – Present **Alumni Association** **MARR Inc**
2000-2008 **Volunteer** **MARR Inc**
Served as volunteer in community meetings in substance abuse treatment facility
Helped form new Spiritual Life Group in conjunction with Dunwoody Baptist Church and MARR. Inc
- 2002 – 2007** **Board of Directors** **Log Cabin Club, Inc**
Served as Fundraising Chair to support 12 Step meetings and functions

No. 6218

Missouri Substance Abuse Professional Credentialing Board

Hereby recognizes that

Michael S. Miller

has met all the standards and qualifications required of an
alcohol drug counselor as determined by the Credentialing Board
and is hereby conferred the title of

Certified Reciprocal Alcohol Drug Counselor

Awarded on February 12, 2015



Cliff J. ...

President

Alicia Ounbeyn *MACSAPP*

Secretary

No. 6202

**Missouri Substance Abuse
Professional Credentialing Board**

Hereby recognizes that

Michael S. Miller

has met all the standards and qualifications required of a
recovery support specialist as determined by the Credentialing
Board and is hereby conferred the title of

Missouri Recovery Support Specialist-Peer

Awarded on February 8, 2013



Clifford _____
President

Alicia Orenbeign _____
Secretary

No. 6727

**Missouri Substance Abuse
Professional Credentialing Board**

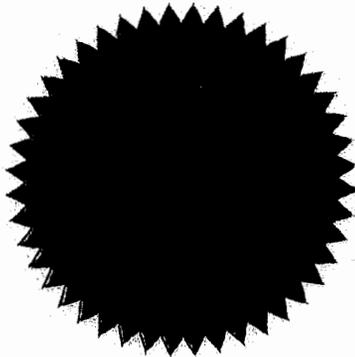
Hereby recognizes that

Michael Miller

has completed the required training and is
hereby conferred the title of

Medication Assisted Recovery Specialist

Awarded on April 20, 2012



Cliff Johnson *CA, MAAC*

President

Alicia Ozunbun *MAACSAFP*

Secretary

Michael S Miller
511-62-1575
6/23/1956

Training Log

<u>Date</u>	<u>Title</u>	<u># Hours</u>
2/6/2015	Ethics	6
12/08-09/2014	Missouri Recovery Support Conference	16
11/19-21/2014	Missouri Reentry Conference	10
9/5/2014	Wellness Coaching Training	6
8/15-16/2014	Spirituality and Substance Use Disorders	12
5/30/2014	DMH Spring Training Institute	10
4/11/2014	MRSS-P Ethics	3
2/15/2014	Spirituality	3
1/29/2014	Intro. To Wellness Coaching	4
9/9/2013	Strengthening Families & Building Stronger Communities	6.5
8/21-22/2013	Mental Health First Aid Training	8.7
8/15/2013	ATTC - Neuroscience of Addiction	1.5
6/21/2013	The Mandated Client: SA Treatment with Forensic & Correctional Clients	6
5/17/2013	Cognitive Behavioral Therapy	6
4/12/2013	Ethics	6
2/22/2013	Medication Assisted Recovery Specialist (MARS) Renewal	8
10/18/2012	Intervention Conference	14
6/15/2012	Understanding PTSD: A Supportive and Practical Perspective	6
4/25/2012	Medication Assisted Recovery Specialist (MARS) Credential Training	40
3/23/2012	Spirituality	6
12/16/2011	Addiction and Family Dynamics	6
12/3/2011	Ethics	6
12/2/2011	Promoting Awareness of Motivational Incentives	3.5
12/2/2011	Group Counseling Activities	4
9/16/2011	Issues in Treating Co-Occurring Disorders	6
3/26/2011	Substance Abuse Treatment for Adults in the Criminal Justice System	4
3/26/2011	The Living in Balance Counseling Approach	2
3/26/2011	Therapeutic Communities	2
3/25/2011	Providing a Continuum of Care	1
3/25/2011	Addiction Counseling Competencies, Practice Dimensions	4
3/25/2011	10 Ways Practitioners Can Avoid Ethical Pitfalls	1
3/11/2011	Substance Abuse Treatment for Persons with Co-Occurring Disorders	7
3/11/2011	Role of Medication in Substance Abuse Treatment, Part 1	2
3/11/2011	Role of Medication in Substance Abuse Treatment, Part 2	2
7/16/2010	Ethics/Confidentiality	6
4/15/2010	Recovery Oriented Systems of Care	6
2/15/2010	Stages of Change/Pathways to Recovery	6

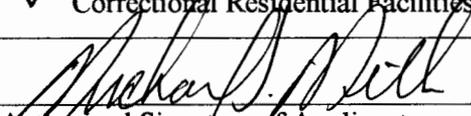
<u>Date</u>	<u>Title</u>	<u># Hours</u>
2/6/2010	CCFC Foundations of Substance Use, Abuse, and Dependence	
	-Spirituality	1.5
	-Family	2.75
	-Pharmacology/MAT	2.25
	-Recovery	2.25
8/18/2009	Treatment Planning M.A.T.R.S.	6
5/22/2009	CCFC Addictions Academy	
	-Spirituality	6.25
	-Recovery	4
	-Motivational Interviewing/Stages of Change	2
	-Family	3.5
	-Co-Occurring	1.5
	-Ethics	3
10/23/2008	Matrix Intensive Outpatient Treatment Model	12
	Total Ethics	30
	Total Educational Hours	294.2

EXHIBIT B
SUBMISSION IS MANDATORY

EXPERTISE OF PERSONNEL

(Copy and complete this table for each key person proposed)

Title of Position: <u>Offender Care Coordinator</u>	
Name of Person:	Carl Perkins
Educational Degree (s): include college or university, major, and dates	BA, Sociology: MSSU 2011 Currently Master Social Work student: MSU 2013-2015
License(s)/Certification(s), Number(s), expiration date(s), if applicable:	RASAC II (MCB # 7023) MRSS-P (MCB # 7531)
Specialized Training Completed. Include dates and documentation of completion for all required training identified in this document:	
Number of years experience in area of service proposed to provide. Experience in working with offenders?	6
Describe person's relationship to applicant. If employee, number of years. If subcontractor, describe other/past working relationships.	Offender Care Coordinator Paid Staff since January, 2012 Volunteer from 2008-2012
Describe this person's responsibilities over the past 12 months.	Offender Care Coordination, Mentoring, and Job Coach Recovery Support Group Leader
Previous employer(s), positions, and dates.	Good Will Builders: President 2002-Present Perkins Enterprises: Crew Leader/Sales 1990-2002
Identify specific information about experience in:	Clearly identify the experience, provide dates, describe the person's role and extent of involvement in the experience
✓ Social Work	Client/Offender Care Coordination Development of collaborative relationships with service agencies
✓ Reentry	SW04 MRP Steering Team: 2007-Present District 9 P & P Citizens Advisory Board: 2010-Present
✓ Counseling	Recovery Counseling (Under Supervision) Peer Support/Mentoring
✓ Criminal Justice	District 9 P & P Restorative Justice Board: 2010-Present
✓ Correctional Residential Facilities	


Authorized Signature of Applicant

6/30/15
Date

4202 E. 26th Street
Joplin, MO 64804
(417) 825-0891
c.perkins@ascentrecovery.org

Continuing employment in the helping professions that exercises my experience with addictions

Bachelor of Arts 5/2011
Missouri Southern State University, Joplin, MO
Major: Sociology
Minor: Writing: with an emphasis in Professional Writing.

Related Course Work

Sociological Research Methods I, II
Juvenile Delinquency
Advanced Essay Writing
Collective Behavior

Social Psychology
Human and Cultural Geography
Marriage and Family
Spanish I, II, III, IV
Professional Writing I, II

1/2009- present
Good Will Builders, Inc. Joplin, MO
President
CEO of construction and sales

1/2012 – present
ASCENT Recovery Residences, Joplin, MO
Offender Care Coordinator

4/2002- 12/2008
Good Will Builders, Inc. Joplin, MO
Construction crew leader and sales

1/2010- present
Hunter Real Estate, Joplin, MO
Licensed Sales Person

1/1990- 3/2002
Perkins Enterprises, Inc. Joplin, MO
CEO of construction and sales

1/2009- 12/2009
Olsen- Kent Realty, Joplin, MO
Licensed Sales Person

9/2008- present
ASCENT Recovery Residences, Joplin, MO
Recovery Support Group Leader, volunteer

11/2007- present
SW04 MRP (Missouri Re-entry Steering Committee) Joplin, MO
Board Member

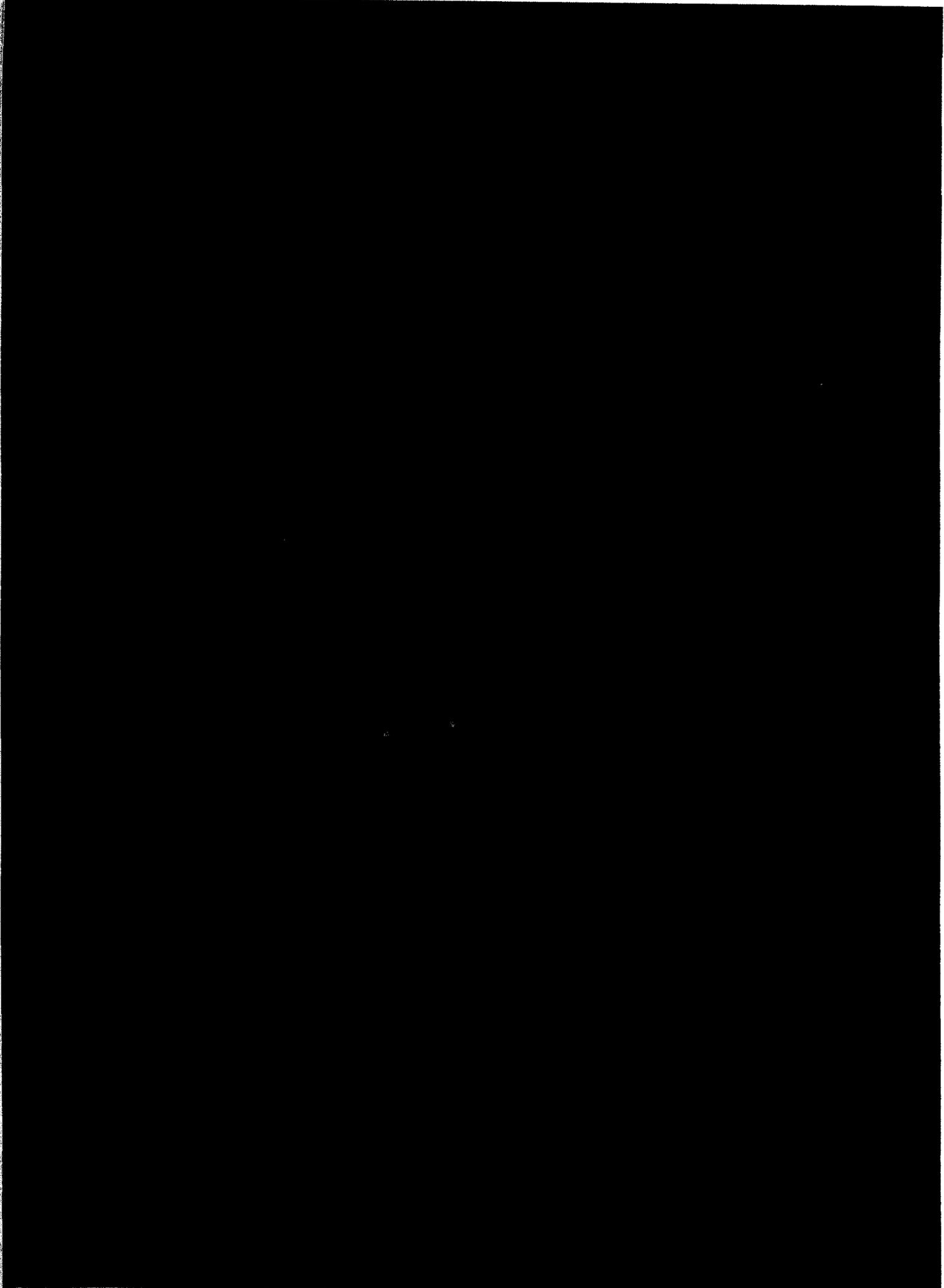
6/ 2010- present
Restorative Justice Board District 9 Probation and Parole, Joplin, MO
Board Member

6/ 2010- present
Citizen Advisory Board District 9 Probation and Parole, Joplin, MO
Board Member

Alpha Chi National College Honor Scholarship Society, Missouri Iota Chapter
Member 2010

United Chapters of Alpha Kappa Delta International Honor Society, Zeta of Missouri
Member 2009

Sigma Tau Delta International English Honor Society, Lambda Xi
Member 2009



No. 7023

Missouri Substance Abuse Professional Credentialing Board

Hereby recognizes that

Carl C. Perkins

has met all the standards and qualifications required of an
associate substance abuse counselor as determined by the
Credentialing Board and is hereby conferred the title of

Recognized Associate Substance Abuse Counselor II

Awarded on October 12, 2012

President

Carl C. Perkins

James

Secretary

Debra Danburg

JMSAPP



No. 7531

**Missouri Substance Abuse
Professional Credentialing Board**

Hereby recognizes that

Carl C. Perkins

has met all the standards and qualifications required of a
recovery support specialist as determined by the Credentialing
Board and is hereby conferred the title of

Missouri Recovery Support Specialist-Peer

Awarded on July 23, 2013



President

Carl C. Perkins
ccperkins

Secretary

Mica Brumbach
MHSAPP

EXHIBIT C

SUBMISSION IS MANDATORY

LEGAL/CANCELLATION ACTIONS AGAINST APPLICANT

The applicant shall copy and complete this form for each legal action or cancellation of contract brought against the organization in the past 5 years. In addition, the applicant is advised that if such information is obtained by Department that is not included in the application, the application may not be considered.

Organization Name:	ASCENT Recovery Residences
Legal/Cancellation Actions Information From:	
Name of Company:	None
Address of Company:	
Contact Person Name:	
Contact Person Phone Number:	
Contact Person e-mail address:	
Date(s) of Legal Action or Cancellation:	None
Reason for Cancellation of Contract:	None
Description of Legal Action:	None
Resolution of Legal Action:	


 Authorized Signature of Applicant

Date 6/30/15

Exhibit D
Performance Measures

Instructions for how to complete this form and a sample can be found the next page.

Total Number of Clients Agency Proposes to Serve: 15

Category	Service	Proposed Clients	Outcome	Indicator (How do you know you are achieving the outcome?)
Academic			Enhanced education	
Basic Needs and Emergency Services	DL/State ID, SS card, Birth Certificate, Clothing & Hygiene Items	15	Attainment of basic needs and emergency services	*100% of offenders served will have all basic needs met
	Medical/Dental Coordination including emergency services	15		*100% of offenders served will be connected with medical/dental providers, as needed
				*100% of all offenders experiencing a medical emergency will have that need met.
Employment	Work Readiness/Retention Skills Workshops	10	Improved employment	*80% of offenders served will have acquired suitable employment (or volunteer work for disabled) and maintained that employment for their program duration
	Individual job search and retention plan with job coach	15		
	Continuous Follow-up with Job Coach	15		
Family			Increased family support	
Housing	30-60 Days Transitional Housing Assistance	10	Attainment of housing	*100% of new offenders served will have received housing assistance to allow program integration and employment acquisition
	Support for permanent housing upon program completion	15		*50% of offenders served will have obtained stable permanent housing, or still be in the program

Mental Health	“Getting it Right” mentoring (Individual And Group)	15	Reduced mental health risks	*100% of offenders with identified mental health disorders will have been offered treatment and/or medication *50% of offenders with identified mental health disorders will demonstrate improved mental health and medication compliance *50% of offenders served will have no incidence of probation violation or additional offense
	Treatment/Counseling coordination including medication acquisition	15 (As Needed)		
	Spiritual Life Groups	15		
Substance Abuse	Recovery Education Groups	15	Reduced substance abuse	*After 1 year of admittance, 50% of offenders served will have maintained continuous sobriety *50% of offenders served will have developed a healthy support network and demonstrate a high level of social connectedness
	Treatment/Counseling Coordination	15		
	AA/NA Participation	15		
Transportation	First 30-60 days trolley passes	10	Attainment of transportation	*100% of offenders served will have received transportation assistance and acquired knowledge of local resources at their disposal
	Staff Transport to fulfill basic essentials and emergency services	15		
Vocational			Improved employment	

EXHIBIT E**SUBMISSION IS MANDATORY****TIMELINE**

The applicant shall complete this form to include each detailed step that will need to be taken to implement the project and to provide services during the entire contract period.

Task or Event	Start Date	Date to be Completed	Assigned Personnel
Review Program Accomplishments from prior award	7/01/15	7/31/15	Teddy Steen, Mike Miller, Carl Perkins
Adjust Implementation plan to correct deficiencies from prior award.	7/15/15	7/31/15	Teddy Steen, Mike Miller, Carl Perkins
Define Program Participation Criteria	7/15/15	7/31/15	Teddy Steen, Mike Miller, Carl Perkins
Continue Acceptance of Referrals	8/01/15	7/31/16	Teddy Steen, Mike Miller, Carl Perkins
Coordinate Treatment Assessments	8/01/15	7/31/16	Teddy Steen, Mike Miller, Ozark Center Intake Counselor
Coordinate TAP Reviews	8/01/15	7/31/16	Teddy Steen, Mike Miller, P&P Staff
Develop Individual Care Plans	8/01/15	7/31/16	Teddy Steen, Mike Miller, Carl Perkins
Continue Outpatient Treatment	8/01/15	7/31/16	Ozark Center
Continue Recovery Support Services	8/01/15	7/31/16	Teddy Steen, Mike Miller, Carl Perkins
Continue "Getting it Right" reentry mentoring	8/01/15	7/31/16	Teddy Steen, Mike Miller, Carl Perkins
Weekly Progress Reviews	8/01/15	7/31/16	Teddy Steen, Mike Miller, Carl Perkins
Prepare and File Quarterly Reports	10/01/15	8/15/16	Mike Miller
Communicate Quarterly Progress	10/01/15	8/15/16	Teddy Steen, MRP Team
Review Program Accomplishments	8/01/16	8/15/16	Teddy Steen, Mike Miller, MRP Team, Ozark Center Staff
File final summary report with core performance measures data	8/01/16	8/15/16	Mike Miller

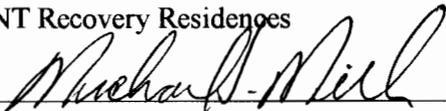
EXHIBIT F**SUBMISSION IS MANDATORY****BUDGET DETAIL WORKSHEET***All Expenses Must Be Reasonable and Necessary*

COMPLETED FORM MUST BE RETURNED WITH APPLICATION		
A. Personnel (a breakdown in the number of hours each person is dedicated to the project is to be provided)		
Name/Position	Calculation of Cost	Cost
Teddy Steen, Executive Director (Care Coordination, Mentoring, Job Coaching)	10 x 52 x \$20	\$10,400
Michael Miller, Program Director (Care Coordination, Mentoring, Job Coaching)	10 x 52 x \$20	\$10,400
Carl Perkins, Care Coordinator (Care Coordination, Mentoring, Job Coaching)	5 x 52 x \$15	\$3900
	Subtotal	\$24,700
B. Fringe Benefits (must be capped at 12%)		
Name/Position	Calculation of Cost	Cost
Teddy Steen (7.65% Payroll Taxes)	\$10,400 x .0765	\$796
Michael Miller (7.65% Payroll Taxes)	\$10,400 x .0765	\$796
Carl Perkins (7.65% Payroll Taxes)	\$3900 x .0765	\$298
	Subtotal	\$1890
C. Staff Travel (mileage at \$0.37 /mile -- Conus rate for any other expenses)		
Purpose of Staff Travel (all staff travel must be for the <u>direct</u> benefit of the offender -- include location and type)	Calculation of Cost	Cost
Transportation of clients to services	15 x 300 x .37	\$1665
	Subtotal	\$1665
D. Direct Services (i.e. housing rental/lease, GED Testing)		
****ALL DIRECT SERVICES MUST BE PROVIDED TO THE OFFENDERS****		
	Calculation of Cost	Cost
Transitional Housing Assistance	10 x 8 x 125	\$10,000
Trolley Passes	10 x 2 x 30	\$600
	Subtotal	\$10,600
E. Equipment/Supplies (Direct Services Only)		
	Calculation of Cost	Cost

	Subtotal	
Summary		
A. Personnel		\$24,700
B. Fringe Benefits		\$1890
C. Staff Travel		\$1665
D. Direct Services		\$10,600
E. Equipment/Supplies (Direct Services Only)		
TOTAL PROJECT COSTS		\$38,855

By signing below, the applicant hereby declares understanding, agreement and certification of compliance to provide the services or project in accordance with all the requirements and specifications contained herein and in the Terms and Conditions. The applicant further agrees that the language of this RFA shall govern in the event of a conflict of terms with his/her application.

Applicant Company Name ASCENT Recovery Residences

Authorized Signature of Applicant  Date 06/30/2015

Printed Name Michael S. Miller

EXHIBIT G**SUBMISSION IS MANDATORY****BUDGET NARRATIVE**

Applicant must provide justification and detailed description of all budget items listed in Exhibit E.

NOTE: All expenses must be reasonable and necessary.

A. Personnel

Hourly rates along with length of contract and hours per week for each staff are provided in the budget. Teddy Steen and Mike Miller will continue in their roles providing care plan development, direct connect of clients with required services, job coaching and structured offender mentoring, both group and individual. Carl Perkins will focus on job coaching as well as specialized re-entry mentoring. Based on the identified services, we estimate Teddy to dedicate 10 hours per week in direct service, Mike to dedicate 10 hours per week in direct service, and Carl to dedicate 5 hours per week in direct service. These estimates are consistent with our actual results over the previous grant period although Carl will be functioning in a reduced capacity due to the demands of his school schedule. These are only estimates. Actual payroll costs will have detailed documentation of hours worked, offender(s) served, and direct service provided.

B. Fringe Benefits

All payroll expenses are subject to 7.65% payroll taxes. There are no added fringe benefits projected.

C. Staff Travel

Mileage rate was determined in accordance with the Office of Administration Travel Regulations from instructions provided in this RFA. We have estimated a total of 300 miles per offender for a total 4500 miles. This travel will be to transport offenders to initial treatment assessments, initial P&P meetings, court appearances, attorney consultations, and the acquisition of basic needs. Again, this is only an estimate and actual travel costs will be documented with miles driven, offender(s) served, and the direct service provided.

D. Direct Services to the Offenders

The program requires 2-3 weeks of daily outpatient treatment and counseling at Ozark Center prior to the initiation of employment preparation. This allows the client to stabilize from their recent substance use, integrate into the community, coordinate with their probation/parole officer and acquire all their basic essentials. Upon completion of this treatment phase, they will enter the work phase and begin their job search. We estimate approximately 4-8 weeks to complete the required treatment and secure employment. Housing/Program fees of \$125/wk are charged to each client upon admittance and this proposed assistance will allow them to secure employment and become self-supporting without incurring a large arrearage. This assistance will only be provided newly admitted offenders. Offenders who have exhausted the allotted 8 weeks of assistance via previous grant awards will not be eligible for additional assistance. (See prior budget worksheet) Should ATR IV be awarded, as anticipated, these funds will be used to augment the services provided in this proposal at no cost to this award.

The same philosophy applies to the delivery of city trolley (bus) passes as we will provide trolley passes for up to the first 60 days. As with the housing assistance, this assistance will only be provided newly admitted offenders. Offenders who have exhausted the allotted 60 days of assistance via previous grant awards will not be eligible for additional assistance. (See prior budget worksheet) City trolley passes provide unlimited usage of the trolley system for a cost of \$30/month.

E. Equipment/Supplies (Direct Services Only)

EXHIBIT J, continued

(Complete the following if you have the E-Verify documentation and a current Affidavit of Work Authorization already on file with the State of Missouri. If completing Box C, do not complete Box B.)

BOX C – AFFIDAVIT ON FILE – CURRENT BUSINESS ENTITY STATUS

I certify that ASCENT Recovery Residences (Business Entity Name) **MEETS** the definition of a business entity as defined in section 285.525, RSMo pertaining to section 285.530, RSMo and have enrolled and currently participates in the E-Verify federal work authorization program with respect to the employees hired after enrollment in the program who are proposed to work in connection with the services related to contract(s) with the State of Missouri. We have previously provided documentation to a Missouri state agency or public university that affirms enrollment and participation in the E-Verify federal work authorization program. The documentation that was previously provided included the following.

- ✓ The E-Verify Employment Eligibility Verification page OR a page from the E-Verify Memorandum of Understanding (MOU) listing the applicant’s name and the MOU signature page completed and signed by the applicant and the Department of Homeland Security – Verification Division
- ✓ A current, notarized Affidavit of Work Authorization (must be completed, signed, and notarized within the past twelve months).

Name of Missouri State Agency or Public University* to Which Previous E-Verify Documentation Submitted: **Missouri Department of Corrections**

(*Public University includes the following five schools under chapter 34, RSMo: Harris-Stowe State University – St. Louis; Missouri Southern State University – Joplin; Missouri Western State University – St. Joseph; Northwest Missouri State University – Maryville; Southeast Missouri State University – Cape Girardeau.)

Date of Previous E-Verify Documentation Submission: 04/10/2015

Previous Bid/Contract Number for Which Previous E-Verify Documentation Submitted: #SDA48000710

Michael S. Miller
Authorized Business Entity Representative’s
Name (Please Print)


Michael S. Miller
Authorized Business Entity
Representative’s Signature

ASCENT Recovery Residences
Business Entity Name

06/30/2015
Date

m.miller@ascentrecovery.org
E-Mail Address

869237
E-Verify MOU Company ID Number

FOR DEPARTMENT USE ONLY

Documentation Verification Completed By:

Procurement Officer

Date



MISSOURI ONLINE BUSINESS FILING



Nonprofit Corporation Details as of 6/30/2015

Fees & Forms

FAQ

Corporations Home

Business Outreach Office

UCC Filings

Corporation Filings

SOS Home

Contact Us

Required Field

File Documents - select the filing from the "Filing Type" drop-down list, then click FILE ONLINE.
 File Registration Reports - click FILE REGISTRATION REPORT.
 Copies or Certificates - click FILE COPIES/CERTIFICATES.

RETURN TO SEARCH RESULTS FILE ONLINE

Select filing from the list.
 Filing Type Amended and Restated Articles of Incorporation

ORDER COPIES/
 CERTIFICATES

General Information	Filings	Address	Contact(s)
Name ASCENT Recovery Residences		Address 2606 E 8th ST Joplin, MO 64801	Charter No. N00863089
Type Nonprofit Corporation			Status Good Standing
Domesticity Domestic			Date Formed 12/20/2007
Registered Agent Richard, Robert W., Esq. 810 Pearl Ave. Ste. C Joplin, MO 64801			
Duration Perpetual			
Report Due 8/31/2015			

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R00799

TE3

261682708



Department of the Treasury
Internal Revenue Service
EO RULINGS AND AGREEMENTS
PO BOX 2508
CINCINNATI OH 45201

Date of this notice: November 16, 2009
Notice Number: CP-158
Taxpayer Identification Number:
26-1682708

024110.662699.0088.002 1 AB 0.360 535



Advance Ruling Period Ending Date:
June 30, 2012



ASCENT RECOVERY RESIDENCES INC
% TEDDY STEEN
PO BOX 4368
JOPLIN MO 64803-4368684

For assistance, call:
1-877-829-5500

024110

Our records indicate that you were issued an advance ruling letter that treated you as a public charity, rather than a private foundation, during an advance ruling period that ends on the date indicated above. That letter required you to file IRS Form 8734 at the end of your advance ruling period to establish that you qualify as a public charity.

New IRS regulations changed the procedures governing your public charity status. You are no longer required to file Form 8734 at the end of the ruling period. The regulations also provide that donors can rely on your advance ruling letter with respect to your public charity status unless the IRS changes that status, based on the organization no longer meeting an applicable public support test, and publishes notice of the change.

If you have received Form 8734 from the IRS, please do not file it. Please keep your advance ruling letter along with this letter for your permanent records.

The regulations also changed the rules for computing public support, consistent with the redesigned Form 990, Return of Organization Exempt from Income Tax. For more information regarding those rules and the redesigned Form 990, please see the IRS website at www.irs.gov/eo.

INTERNAL REVENUE SERVICE
P. O. BOX 2508
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date: JAN 30 2008

ASCENT RECOVERY RESIDENCES
C/O TEDDY STEEN
3419 ALPACA RD
JOPLIN, MO 64804-8734

Employer Identification Number:
26-1682708
DLN:
17053176035017
Contact Person:
MARK I. TOMBACK ID# 95020
Contact Telephone Number:
(877) 829-5500
Accounting Period Ending:
June 30
Public Charity Status:
509(a)(2)
Form 990 Required:
Yes
Effective Date of Exemption:
December 20, 2007
Contribution Deductibility:
Yes
Advance Ruling Ending Date:
June 30, 2012
Addendum Applies:
No

Dear Applicant:

We are pleased to inform you that upon review of your application for tax exempt status we have determined that you are exempt from Federal income tax under section 501(c)(3) of the Internal Revenue Code. Contributions to you are deductible under section 170 of the Code. You are also qualified to receive tax deductible bequests, devises, transfers or gifts under section 2055, 2106 or 2522 of the Code. Because this letter could help resolve any questions regarding your exempt status, you should keep it in your permanent records.

Organizations exempt under section 501(c)(3) of the Code are further classified as either public charities or private foundations. During your advance ruling period, you will be treated as a public charity. Your advance ruling period begins with the effective date of your exemption and ends with advance ruling ending date shown in the heading of the letter.

Shortly before the end of your advance ruling period, we will send you Form 8734, Support Schedule for Advance Ruling Period. You will have 90 days after the end of your advance ruling period to return the completed form. We will then notify you, in writing, about your public charity status.

Please see enclosed Publication 4221-PC, Compliance Guide for 501(c)(3) Public Charities, for some helpful information about your responsibilities as an exempt organization.

If you distribute funds to other organizations, your records must show whether they are exempt under section 501(c)(3). In cases where the recipient

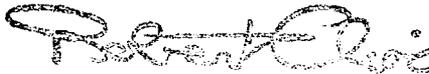
Letter 1045 (DO/CG)

ASCENT RECOVERY RESIDENCES

organization is not exempt under section 501(c)(3), you must have evidence the funds will be used for section 501(c)(3) purposes.

If you distribute funds to individuals, you should keep case histories showing the recipient's name and address; the purpose of the award; the manner of selection; and the relationship of the recipient to any of your officers, directors, trustees, members, or major contributors.

Sincerely,



Robert Choi
Director, Exempt Organizations
Rulings and Agreements

Enclosures: Publication 4221-PC
Statute Extension

Part X Public Charity Status (Continued)

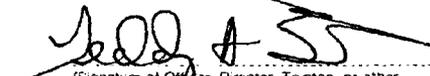
- e 509(a)(4)—an organization organized and operated exclusively for testing for public safety.
- f 509(a)(1) and 170(b)(1)(A)(v)—an organization operated for the benefit of a college or university that is owned or operated by a governmental unit.
- g 509(a)(1) and 170(b)(1)(A)(vi)—an organization that receives a substantial part of its financial support in the form of contributions from publicly supported organizations, from a governmental unit, or from the general public.
- h 509(a)(2)—an organization that normally receives not more than one-third of its financial support from gross investment income and receives more than one-third of its financial support from contributions, membership fees, and gross receipts from activities related to its exempt functions (subject to certain exceptions).
- i A publicly supported organization, but unsure if it is described in 5g or 5h. The organization would like the IRS to decide the correct status.

6 If you checked box g, h, or i in question 5 above, you must request either an **advance** or a **definitive ruling** by selecting one of the boxes below. Refer to the instructions to determine which type of ruling you are eligible to receive.

- a **Request for Advance Ruling:** By checking this box and signing the consent, pursuant to section 6501(c)(4) of the Code you request an advance ruling and agree to extend the statute of limitations on the assessment of excise tax under section 4940 of the Code. The tax will apply only if you do not establish public support status at the end of the 5-year advance ruling period. The assessment period will be extended for the 5 advance ruling years to 8 years, 4 months, and 15 days beyond the end of the first year. You have the right to refuse or limit the extension to a mutually agreed-upon period of time or issue(s). Publication 1035, *Extending the Tax Assessment Period*, provides a more detailed explanation of your rights and the consequences of the choices you make. You may obtain Publication 1035 free of charge from the IRS web site at www.irs.gov or by calling toll-free 1-800-829-3676. Signing this consent will not deprive you of any appeal rights to which you would otherwise be entitled. If you decide not to extend the statute of limitations, you are not eligible for an advance ruling.

Consent Fixing Period of Limitations Upon Assessment of Tax Under Section 4940 of the Internal Revenue Code

For Organization


(Signature of Officer, Director, Trustee, or other authorized official)

Teddy Steen

(Type or print name of signer)

Executive Director

(Type or print title or authority of signer)

1-14-08

(Date)

For IRS Use Only



IRS Director, Exempt Organizations

JAN 30 2008

(Date)

- b **Request for Definitive Ruling:** Check this box if you have completed one tax year of at least 8 full months and you are requesting a definitive ruling. To confirm your public support status, answer line 6b(i) if you checked box g in line 5 above. Answer line 6b(ii) if you checked box h in line 5 above. If you checked box i in line 5 above, answer both lines 6b(i) and (ii).
- (i) (a) Enter 2% of line 8, column (e) on Part IX-A, Statement of Revenues and Expenses.
- (b) Attach a list showing the name and amount contributed by each person, company, or organization whose gifts totaled more than the 2% amount. If the answer is "None," check this box.
- (ii) (a) For each year amounts are included on lines 1, 2, and 9 of Part IX-A, Statement of Revenues and Expenses, attach a list showing the name of and amount received from each **disqualified person**. If the answer is "None," check this box.
- (b) For each year amounts are included on line 9 of Part IX-A, Statement of Revenues and Expenses, attach a list showing the name of and amount received from each payer, other than a disqualified person, whose payments were more than the larger of (1) 1% of line 10, Part IX-A, Statement of Revenues and Expenses, or (2) \$5,000. If the answer is "None," check this box.
- 7 Did you receive any unusual grants during any of the years shown on Part IX-A, Statement of Revenues and Expenses? If "Yes," attach a list including the name of the contributor, the date and amount of the grant, a brief description of the grant, and explain why it is unusual. Yes No